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APPLICATION NO.	FII	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/797,910	10/797,910 03/09/2004		Kenneth J. Michlitsch	021496-000600US	3380
40518	7590	09/27/2006	·	EXAM	INER
LEVINE B			VRETTAKOS, PETER J		
2483 EAST BAYSHORE ROAD, SUITE 100 PALO ALTO, CA 94303				ART UNIT	PAPER NUMBER
			•	3739	

DATE MAILED: 09/27/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
	10/797,910	MICHLITSCH ET AL.					
Office Action Summary	Examiner	Art Unit					
	Peter J. Vrettakos	3739					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period value - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).					
Status							
1) Responsive to communication(s) filed on 14 Se	eptember 2006.						
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.						
• • • • • • • • • • • • • • • • • • • •							
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>1-31</u> is/are pending in the application.							
4a) Of the above claim(s) 1-7,13-24 and 28-31	4a) Of the above claim(s) <u>1-7,13-24 and 28-31</u> is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>8-12 and 25-27</u> is/are rejected.	i)⊠ Claim(s) <u>8-12 and 25-27</u> is/are rejected.						
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/o	r election requirement.						
Application Papers							
9) The specification is objected to by the Examine	r.						
10) The drawing(s) filed on is/are: a) acc	epted or b) objected to by the	Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct							
11)☐ The oath or declaration is objected to by the Ex	caminer. Note the attached Office	Action or form PTO-152.					
Priority under 35 U.S.C. § 119							
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a	)-(d) or (f).					
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Burea	,						
* See the attached detailed Office action for a list	of the certified copies not receive	ed.					
Attachment(s)							
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail D	r (PTO-413) ate					
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>5-20-05,11-22-04</u> .	5) Notice of Informal F 6) Other:						

#### **DETAILED ACTION**

The application is published application number: 2005/0203488. The publication is classified in US 606/1.

The Applicant is requested to provide (or check for accuracy) at the beginning of the Specification updated status information (serial numbers and patent numbers) of all related applications. The effective filing date of this application 3-9-04.

Pending claims are 1-31.

Elected (traversed) claims 8-12 and 25-27 are examined below.

Non-elected / withdrawn claims are 1-7, 13-24 and 28-31.

Independent claims are 8 and 25.

### Information Disclosure Statement

The information disclosure statement (IDS) submitted on 5-20-05 and 11-22-04 are being considered by the examiner.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

<sup>(</sup>b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 8-12 and 25-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Edwards et al. (6,258,087).

Note: specific references in the patented disclosure below are not limiting to those excerpts. (The Office reserves the right in future actions to apply other excerpts, need be, from the patent.)

## The patent discloses:

- 8. Apparatus (24) for mapping out endoluminal gastrointestinal surgery, the apparatus comprising: an endoluminal support (30) configured for endoluminal placement within a gastrointestinal lumen; and a radiofrequency element (36; col. 10:11-5) on the support for marking ("forming lesions") the gastrointestinal lumen.
- 9. The apparatus of claim 8 further comprising an approximation element (72) configured to approximate an interior of the gastrointestinal lumen and the endoluminal support (30). See, *inter alia*, figures 15 and 16.
- 10. The apparatus of claim 9, wherein the approximation element (72) is disposed on the endoluminal support (30). See, *inter alia*, figures 15 and 16.
- 11. The apparatus of claim 8, wherein the radiofrequency element comprises at least one electrode, the at least one electrode (66) disposed on a surface of the endoluminal support and coupleable to a radiofrequency generator (38).

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12. The apparatus of claim 9, wherein the approximation element comprises an element

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chosen from the group consisting of suction ports (46 – aspirating apparatus), inflation

elements (72 – balloon structure) and combinations thereof.

25. A method for mapping out endoluminal gastric reduction (inherent), the

method comprising: advancing a radiofrequency endoluminal support into a patient's

stomach (12, col. 1:24); and exposing an interior of the stomach (12, col. 1:24) to

radiofrequency energy from the support at at least one specified location, wherein said

exposure creates a visible marking ("lesions" are visible). See, inter alia, figures 15 and

16.

26. The method of claim 25 further comprising approximating the interior of the stomach

(12, col. 1:24) and the endoluminal support. See, inter alia, figures 15 and 16.

27. The method of claim 25, wherein exposing the interior to radiofrequency energy

comprises locally burning (ablating) a mucosa layer of the interior of the stomach (12,

col. 1:24). See, inter alia, figures 15 and 16.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Peter J. Vrettakos whose telephone number is 571-272-

4775. The examiner can normally be reached on M-F 9-6.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda C. Dvorak can be reached on 571-272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Pete Vrettakos September 15, 2006

ROY D. GRSON PRIMARY EXAMINER

Roy D. Gibson